Investor Complaint Data- NX Block Trades Private Limited

Data for the Month – Aug -2024

| Sr. No. | Source | Previous Month carried | Received during the Month | Total pending | Resolved | Pending at the end of month | Average resolution Time (In days) |
|------------|----------------------|------------------------------|------------------------------------|------------------|----------|-----------------------------------|---------------------------------------|
| 1. | Direct from Investor | forword Nil | Nil | Nil | Nil | Nil | NA |
| 2. | SEBI (Score) | Nil | Nil | Nil | Nil | Nil | NA |
| 3. | Other Source | Nil | Nil | Nil | Nil | Nil | NA |
| 4. | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

| Sr. No. (A) | Nature of Complaint (B) | Pending at the BEGINNING of the month (No. of (C) | No. of cases RECEIV ED during the month (D) | No. of cases RESOLVED during the month (E) | No. of cases PENDING at the end (F) | No. of cases PENDING for more than 30 days. (G) | Reason for pendency as shown in column (E) (F) |
|-------------------|---|--|--|---|---|--|---|
| 1. | Account Opening Related | 0 | 0 | 0 | 0 | 0 | NA |
| 1a | Denial in opening an account | 0 | 0 | 0 | 0 | 0 | NA |
| 1b | Account opened in another name than as requested | 0 | 0 | 0 | 0 | 0 | NA |
| 1c | Non receipt of Account Opening Kit | 0 | 0 | 0 | 0 | 0 | NA |
| 1d | Delay in activation/ opening of account | 0 | 0 | 0 | 0 | 0 | NA |
| 1e | Non-Receipt of copy of Rights & Obligations document/ Schedule A of Charges | 0 | 0 | 0 | 0 | 0 | NA |
| 2. | Transaction Statement Related | 0 | 0 | 0 | 0 | 0 | NA |
| 2a | Delay in/ Non-Receipt of Statements | 0 | 0 | 0 | 0 | 0 | NA |
| 2b | Discrepancy in Transaction statement | 0 | 0 | 0 | 0 | 0 | NA |
| 3 | Charges Related | 0 | 0 | 0 | 0 | 0 | NA |
| 3a. | Wrong/ Excess Charges | 0 | 0 | 0 | 0 | 0 | NA |
| 3b. | Charges paid but not credited | 0 | 0 | 0 | 0 | 0 | NA |
| 3c. | Charges for Opening/ closure of Account | 0 | 0 | 0 | 0 | 0 | NA |
| 4. | Account Closure | 0 | 0 | 0 | 0 | 0 | NA |
| 4a | Non closure/ delay in closure of account | 0 | 0 | 0 | 0 | 0 | NA |
| 5. | Manipulation/ Unauthorised Action | 0 | 0 | 0 | 0 | 0 | NA |
| 6. | Unauthorised Transaction in account | 0 | 0 | 0 | 0 | 0 | NA |
| 7. | Manipulation | 0 | 0 | 0 | 0 | 0 | NA |
| 8. | Unauthorised changes in account (address/ signatories/ bank details/ PAN etc.) | 0 | 0 | 0 | 0 | 0 | NA |
| 9. | Others | 0 | 0 | 0 | 0 | 0 | NA |