

**Investor Complaint Data- NX Block Trades Private Limited**

**Data for the Month – Sep -2024**

Sr. No.	Source	Previous Month carried forward	Received during the Month	Total pending	Resolved	Pending at the end of month	Average resolution Time ( In days)
1.	Direct from Investor	Nil	Nil	Nil	Nil	Nil	NA
2.	SEBI (Score)	Nil	Nil	Nil	Nil	Nil	NA
3.	Other Source	Nil	Nil	Nil	Nil	Nil	NA
4.	Grand Total	Nil	Nil	Nil	Nil	Nil	NA

Sr. No. (A)	Nature of Complaint (B)	Pending at the BEGINNING of the month (No. of (C)	No. of cases RECEIVED during the month (D)	No. of cases RESOLVED during the month (E)	No. of cases PENDING at the end (F)	No. of cases PENDING for more than 30 days. (G)	Reason for pendency as shown in column (E) (F)
1.	Account Opening Related	0	0	0	0	0	NA
1a	Denial in opening an account	0	0	0	0	0	NA
1b	Account opened in another name than as requested	0	0	0	0	0	NA
1c	Non receipt of Account Opening Kit	0	0	0	0	0	NA
1d	Delay in activation/ opening of account	0	0	0	0	0	NA
1e	Non-Receipt of copy of Rights & Obligations document/ Schedule A of Charges	0	0	0	0	0	NA
2.	Transaction Statement Related	0	0	0	0	0	NA
2a	Delay in/ Non-Receipt of Statements	0	0	0	0	0	NA
2b	Discrepancy in Transaction statement	0	0	0	0	0	NA
3	Charges Related	0	0	0	0	0	NA
3a.	Wrong/ Excess Charges	0	0	0	0	0	NA
3b.	Charges paid but not credited	0	0	0	0	0	NA
3c.	Charges for Opening/ closure of Account	0	0	0	0	0	NA
4.	Account Closure	0	0	0	0	0	NA
4a	Non closure/ delay in closure of account	0	0	0	0	0	NA
5.	Manipulation/ Unauthorised Action	0	0	0	0	0	NA
6.	Unauthorised Transaction in account	0	0	0	0	0	NA
7.	Manipulation	0	0	0	0	0	NA
8.	Unauthorised changes in account (address/ signatories/ bank details/ PAN etc.)	0	0	0	0	0	NA
9.	Others	0	0	0	0	0	NA